



Mission Support Alliance

FOREIGN OWNERSHIP, CONTROL, OR INFLUENCE (FOCI) CERTIFICATION

Prior to award of a Subcontract under this solicitation, the Buyer must determine that award of the Subcontract to the Offeror will not pose an undue risk to the common defense and security as a result of its access to classified information or a significant quantity of Special Nuclear Material in the performance of the Subcontract. In making the determination, the Buyer may consider a voting trust or other arrangements proposed by the Offeror to mitigate or avoid FOCI. The Buyer may require the Offeror to submit such additional information as deemed pertinent to this determination.

The Offeror shall require any Subcontractors having access to classified information or a significant quantity of special Nuclear Material to submit the certification below directly to the Buyer.

Information submitted by the Offeror is to be used solely for purposes of evaluating foreign ownership, control, or influence and shall be treated by the Buyer to the extent permitted by law, as business or financial information submitted in confidence.

Answer the following questions either "yes" or "no". If the answer is yes, furnish in detail on a separate sheet of paper all the information requested in parentheses. Copies of information which responds to these questions and which was submitted to other Government agencies may be submitted as responses to these questions if the earlier responses are accurate, complete, and current.

1. Does a Foreign Interest own or have beneficial ownership in 5% or more of your organization's voting securities?

Yes No

(Identify the percentage of any class of shares or other securities issued which are owned by Foreign Interests, listed by country. If you answer "yes" and have received from an investor a copy of schedule 13D and/or schedule 13G filed by the investors with the Securities and Exchange Commission, you are to attach a copy of schedule 13D and/or schedule 13G)

2. Does your organization own 10% or more of any Foreign Interest?

Yes No

(Furnish the name of the Foreign Interest, address by country, and the percentage owned. Include names and title of officials of your organization who occupy positions with the Foreign Interest, if any.)

3. Do any Foreign Interests have management positions such as Directors, Officers, or Executive Personnel in your organization?

Yes No

(Furnish full information concerning the identity of the Foreign Interest and the position he/she holds in your organization.)

4. Does any Foreign Interest control or influence, or is any Foreign Interest in a position to control or influence the election, appointment, or tenure of any of your directors, officers, or executive personnel?

Yes No

(Identify the Foreign Interest(s) and furnish full details concerning the control or influence.)



Mission Support Alliance

5. Does your organization have any Subcontracts, binding agreements, understandings, or arrangements with a Foreign Interest(s) that cumulatively represents 10% or more of your organization's gross income?

Yes No

(Furnish the name of the Foreign Interest, country, nature of agreement or involvement. Agreements include licensing, sales, patent exchange, trade secrets, agency, cartel, partnership, joint venture, proxy, etc. Give overall percentage by country as related to total income and type of services or products in general terms. If you answer "yes" and have received from the Foreign Interest a copy of schedule 13D and/or schedule 13G filed by the Foreign Interest with the Securities and Exchange Commission, you are to attach a copy of schedule 13D and/or schedule 13G.)

6. Is your organization indebted to any Foreign Interests?

Yes No

(Furnish the amount of indebtedness as related to the current assets of the organization and identify the creditor. Include specifics as to the type of indebtedness and what, if any, collateral, including voting stock, has been furnished or pledged. If any debentures are convertible, specifics about the indebtedness, collateral, if any and what will be received after conversion are to be furnished.)

7. Does your organization derive any income from Communist Countries?

Yes No

(Discuss in detail any income derived from Communist Countries, including percentage from each such country as related to total income, and the type of services or products involved.)

8. Is 5% or more of any class of your organization's securities held in any method, which does not disclose beneficial owner of equitable title?

Yes No

(Identify each foreign institutional investor holding 5 percent or more of the voting stock. Identification should include the name and address of the investor and percentage of stock held. State whether the investor has attempted to, or has, exerted any management control or influence over the appointment of directors, officers, or other key management personnel, and whether such investors have attempted to influence the policies of the corporation. If you have received from the investor a copy of the schedule 13D and/or schedule 13G filed by the investor with the Securities and Exchange Commission, you are to attach a copy of schedule 13D and/or schedule 13G.)

9. Does your organization have interlocking directors with Foreign Interests?

Yes No

(Include identifying data on all such directors. If they have a security clearance, so state. Also indicate the name and address of all other corporations with which they serve in any capacity.)

10. Are there any citizens of foreign countries employed by, or who may visit your offices or facilities in a capacity, which may permit them to have access to classified information or a significant quantity of Special Nuclear Material?

Yes No

(Provide complete information by identifying the individuals and the country of which they are citizens.)



Mission Support Alliance

11. Does your organization have foreign involvement not otherwise covered in your answers to the above questions?

Yes No

(Describe the foreign involvement in detail, including why the involvement would not be reportable in the preceding questions.)

CERTIFICATION

The Offeror certifies that the entries made above are accurate, complete and current to the best of my knowledge and belief and are made in good faith.

The Offeror certifies that the information requested above has previously been submitted to the Buyer as required for a facility security clearance and that the information is accurate, complete and current.